

P/3331-26

PATENT COOPERATION TREATY
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

In re Int'l. Patent Appln. of:

EBS Dealing Resources, Inc.

Int'l. Appln. No.: PCT/US98/19196

Date: October 27, 2000

Int'l. Filing Date: 11 September 1998

Authorized Officer: T. Swann

For: COMMUNICATION OF CREDIT FILTERED PRICES IN AN ELECTRONIC
BROKERAGE SYSTEM

Commissioner of Patents and Trademark
Box PCT
Washington, D.C. 20231

RESPONSE TO WRITTEN OPINION MAILED AUGUST 30, 2000

Sir:

In response to the Written Opinion mailed August 30, 2000, please amend the application as follows:

IN THE SPECIFICATION

Please substitute the attached pages 8, 9 and 37 for the previously filed pages.

IN THE CLAIMS

Please substitute the attached page 39 for the previously filed page 39.

REMARKS

Minor changes have been to pages 8, 9 and 37 to correct informalities therein. On page 8, the missing word "period" has been added. On page 9, an error in the settlement amount calculation example has been corrected. On page 37, reference letters corresponding to Figure 9 have been added. Of the claims, only claim 1 has been amended. It is requested that these amendments be reviewed by the Authorized Officer and entered without objection.

In the Written Opinion, the Authorized Officer has indicated that claim 1-4 and 7-19 lack an inventive step under PCT Article 33(3) as being obvious over Togher et al. in review of Silverman et al. The Authorized Officer's rejection on this ground is respectfully traversed.